

# Complexity, Combinatorial Positivity, and Newton Polytopes

Alexander Yong  
University of Illinois at Urbana-Champaign

Joint work with:

Anshul Adve (University of California at Los Angeles)  
Colleen Robichaux (University of Illinois at Urbana-Champaign)

**Poorly understood issue:** Why are do some decision problems have fast algorithms and others seem to need costly search?

Some complexity classes:

- ▶ NP: LP ( $\exists x \geq 0, Ax=b?$ )
- ▶ coNP: Primes
- ▶ P: LP and Primes!
- ▶ NP-complete: Graph coloring

Famous theoretical computer science problems:

- ▶  $P \stackrel{?}{=} NP$
- ▶  $NP \stackrel{?}{=} coNP$
- ▶  $NP \cap coNP \stackrel{?}{=} P$

In algebraic combinatorics and combinatorial representation theory we often study:

$$F_{\diamond} = \sum_{\alpha} c_{\alpha, \diamond} x^{\alpha} = \sum_{s \in S} \text{wt}(s) \in \mathbb{Z}[x_1, \dots, x_n]$$

**Example 1:**  $\diamond = \lambda \implies F_{\diamond} = s_{\lambda}$  (Schur),  $c_{\alpha, G} =$  Kostka coeff.

**Example 2:**  $\diamond = G = (V, E) \implies F_{\diamond} = \chi_G$  (Stanley's chromatic symmetric polynomial),  $c_{\alpha, G} =$  #proper colorings of  $G$  with  $\alpha_i$ -many colors  $i$

**Example 3:**  $\diamond = w \in S_{\infty} \implies F_{\diamond} = \mathfrak{G}_w$  (Schubert polynomial).  
More later.

**Nonvanishing:** What is the complexity of deciding  $\underline{c_{\alpha, \diamond} \neq 0}$  as measured in the length of the input  $(\alpha, \diamond)$  assuming arithmetic takes constant time?

- ▶ In general undecidable: Gödel incompleteness '31, Turing's halting problem '36.
- ▶ Our cases of interest have combinatorial positivity:  
 $\exists$  rule for  $c_{\alpha, \diamond} \in \mathbb{Z}_{\geq 0} \implies \text{Nonvanishing}(F_{\diamond}) \in \text{NP}$ .

Evidently, nonvanishing concerns the *Newton polytope*,

$$\text{Newton}(F_\diamond) = \text{conv}\{\alpha : c_{\alpha,\diamond} \neq 0\} \subseteq \mathbb{R}^n.$$

- ▶ Monical-Tokcan-Y. '17:  $F_\diamond$  has *saturated Newton polytope* (SNP) if  $\beta \in \text{Newton}(F_\diamond) \iff c_{\beta,\diamond} \neq 0$
- ▶ Many polynomials have this property.

Importance of SNP property:

**Observation 1:** SNP  $\Rightarrow$  nonvanishing( $F_\diamond$ ) is equivalent to checking membership of a lattice point in  $\text{Newton}(F_\diamond)$ .

**Observation 1':** SNP + “efficient” halfspace description of  $\text{Newton}(F_\diamond) \implies$  nonvanishing( $F_\diamond$ )  $\in$  coNP.

$\therefore$  in many cases nonvanishing( $F_\diamond$ )  $\in$  NP  $\cap$  coNP.

# Nonvanishing and NP

**Example 1'**:  $s_\lambda$  has SNP.  $\text{Newton}(s_\lambda) = \mathcal{P}_\lambda$  (the permutahedron).  
Nonvanishing( $s_\lambda$ )  $\in \mathcal{P}$  by dominance order (Rado's theorem).

**Example 2'**:  $\chi_G$  does not have SNP.

coloring  $\in \text{NP}$ -complete  $\implies$  Nonvanishing( $\chi_G$ )  $\in \text{NP}$ -complete.

$\therefore$  nonvanishing hits the extremes of NP.

**Question:** What about the nonextremes?

- ▶ Many problems *suspected* of being NP-intermediate: e.g., graph isomorphism, factorization
- ▶ Ladner's theorem:  $\mathcal{P} \neq \text{NP} \implies \text{NP-intermediate} \neq \emptyset$
- ▶  $\text{NP} \cap \text{coNP}$  is important to this discussion:

$$\text{coNP} \cap \text{NP-complete} \neq \emptyset \implies \text{NP} = \text{coNP}!$$

- ▶ This is why factorization is not expected to be NP-complete.
- ▶ Most public key cryptography relies on  $\text{NP} \cap \text{coNP} \neq \mathcal{P}$ .

# Possible application of algebraic combinatorics to TCS?

**Conjecture 1:** [Stanley '95] If  $G$  is claw-free (i.e., it contains no induced  $K_{1,3}$  subgraph), then  $\chi_G$  is Schur positive.

**Conjecture 2:** [C. Monical '18] If  $\chi_G$  is Schur positive, then it is SNP.

**Conjecture 1+2:** If  $G$  is claw-free then  $\chi_G$  is SNP.

**Theorem:** (Holyer '81) Coloring of claw-free  $G$  is NP-complete.

**Corollary:**  $\text{nonvanishing}(\chi_{\text{claw-free } G}) \in \text{NP-complete}$ .

$\therefore$  Conjecture 1+2 and a halfspace description of  $\text{Newton}(\chi_{\text{claw-free } G}) \implies \text{NP} = \text{coNP}$

Suggests a new complexity-theoretic rationale for the study of  $\chi_G$ .

# An algebraic combinatorics paradigm for complexity

In many cases of algebraic combinatorics,  $\{F_\diamond\}$  has combinatorial positivity and SNP. If one also has an efficient halfspace description of  $\text{Newton}(F_\diamond)$ , then  $\text{nonvanishing}(F_\diamond) \in \text{NP} \cap \text{coNP}$ .

Four possible outcomes of such a study:

(I) **Unknown**: it is an open problem to find additional problems that are in  $\text{NP} \cap \text{coNP}$  that are not *known* to be in  $P$ .

(II) **P**: Give an algorithm. It will likely illuminate some special structure, of independent combinatorial interest.

(III) **NP-complete**: proof solves  $\text{NP} \stackrel{?}{=} \text{coNP}$  with “=”.

(IV) **NP-intermediate**: proof solves  $\text{NP-intermediate} \stackrel{?}{=} \emptyset$  with “ $\neq$ ”, i.e.,  $P \neq \text{NP}$ .

Next: do this for Schubert polynomials (outcomes (I) and (II)).



# Schubert polynomials

$B$  acts on  $GL_n/B$  with *finitely many orbits*, the Schubert cells, whose closures  $X_w$ ,  $w \in S_n$  are the **Schubert varieties**.

Lascoux and Schützenberger's (1982) main idea in type A (after Bernstein-Gelfand-Gelfand):

- ▶ Pick  $\mathfrak{S}_{w_0} = x_1^{n-1} x_2^{n-2} \cdots x_{n-1}$  as an especially nice representative of the class of a point
- ▶ Apply *Newton's divided difference operator*

$$\partial_i f = \frac{f - f^{s_i}}{x_i - x_{i+1}},$$

to recursively define all other  $\mathfrak{S}_w$  using weak Bruhat order.

This starts the theory of *Schubert polynomials*.

# Complexity results

There are many combinatorial rules that establish that  $c_{\alpha,w} \in \mathbb{Z}_{\geq 0}$ .

However, none of these prove nonvanishing( $\mathfrak{G}_w$ )  $\in$  P since they involve exponential search.

**Theorem A:** (Adve-Robichaux-Y. '18)  $c_{\alpha,w}$  is #P-complete.

$\therefore$  no polynomial time algorithm to compute  $c_{\alpha,w}$  exists unless  $P = NP$ .

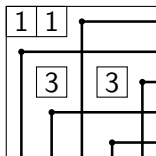
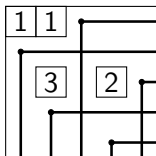
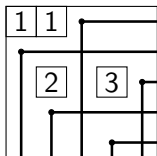
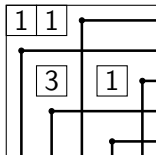
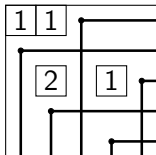
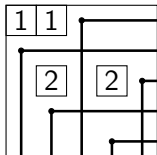
Counting is hard, nonvanishing is easy:

**Theorem B:** (Adve-Robichaux-Y. '18) nonvanishing( $\mathfrak{G}_w$ )  $\in$  P

**Analogy:** Computing the permanent of a 0,1-matrix is #P-complete but nonzeroness is easy (Edmonds-Karp matching algorithm).

# A tableau rule for nonvanishing

Fillings of the Rothe diagram of 31524:



**Theorem C:** (Adve-Robichaux-Y. '18)

$$c_{\alpha,w} \neq 0 \iff \text{Tab}(w, \alpha) \neq \emptyset.$$

- ▶ The *Schubertope*  $\mathcal{S}_D$  was introduced by Monical-Tokcan-Y. '17 for any  $D \subseteq [n]^2$ .
- ▶ We give a generalization of tableau of Theorem C to any  $D$ .
- ▶ Then introduce a new polytope  $\mathcal{T}_D$  whose integer points biject with tableaux.
- ▶ Integer linear programming is hard but  $\mathcal{T}_D$  is totally unimodular. Now use LPfeasibility  $\in P$ .
- ▶ Link to Schubert polynomials: For  $D = D(w)$ , Monical-Tokcan-Y. '17 conjectured  $\mathcal{S}_D = \text{Newton}(\mathfrak{S}_w)$ . Proved by Fink-Mészáros-St. Dizier '18.
- ▶ First proved that  $\text{nonvanishing}(\mathfrak{S}_w) \in \text{NP} \cap \text{coNP}$  hinting  $\in P$ .
- ▶ NP and  $\#P$  proof via transition.

# Conclusions and summary

- ▶ In this talk we described an algebraic combinatorics paradigm for complexity on theoretical computer *science*.
- ▶ Conversely, complexity gives some new perspectives on algebraic combinatorics.
- ▶ In our main example, we obtain new results about Schubert polynomials and the Schubitope.